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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/584,328

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EXAMINER

POLLOCK, GREGORY A

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PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/584,328	Applicant(s) VETILLARD, ERIC	
	Examiner GREG POLLOCK	Art Unit 3695	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 18 May 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 2-8 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 2-8 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This action is responsive to claims filed 05/18/2009 and Applicant's request for reconsideration of application 10/584328 filed 05/18/2009.

The amendment contains previously presented claims 2-6 and 8.

The amendment contains amended claims 7

Claims 1 have been canceled.

As such, claims 2-8 have been examined with this office action.

Claim Interpretation – “Whereby” (or “Wherein”) Clauses

2. **Claims 3 and 8** use of the phrase "wherein" or "whereby". A “wherein” clause that merely states the result of the limitations in the claim adds nothing to the patentability or substance of the claim [MPEP § 2111.04].

Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 2-4, 7, and 8 are rejected under 35 U.S.C. 102(b) as being anticipated by Cirne (U.S. Patent No. 6260187).

As per claim 7, Cirne teaches a method **for loading into a computer device** (JAVA running on a platform [Abstract] [column 1, lines 53-57]. Here the examiner notes that the “computing device” can be broadly interpreted as any computing device.) **an updated release of an earlier application** (add new functionality to existing code [column 2, lines 45-47]) **having earlier application**

classes and earlier static field identifiers (original class and static field [column 2, lines 50-65]), **said method comprising the steps of:**
computing, in a first computing operation prior to said loading (three sets of inputs are received at a code modifier [Figure 1, element 10], the inputs including replacement or substitution classes and rules [column 2, lines 50-65]), **a class matching information establishing a correspondence between said earlier application release classes and said updated application release classes** ([column 3, line 65 – column 4, line 9] [Figure 6] and [column 10, lines 40-63]); **computing, in a second computing operation prior to said loading** (three sets of inputs are received at a code modifier [Figure 1, element 10], the input including replacement or substitution static fields and rules [column 2, lines 50-65]), **a second static field identifiers matching information establishing a correspondence between said earlier application release static field identifiers and said updated application release static field identifiers** ([column 4, lines 36-44] [Figure 8] and [column 13, lines 11-46]); **linking said class matching information and said static field identifiers matching information to said updated application release as loaded into the computer device** ([Figures 6 and 8] and [column 10, line 64 – column 12, line 12] and [column 13, lines 11-46]); **and using said class matching information and said static field identifiers matching information to modify the objects to point at the updated application release classes and use the updated application release static field identifiers** ([column 2, lines 50-67] [column 5, lines 60-63] [column 6, lines 5-9] ([Figures 6 and 8] and [column 10, line 64 – column 14, line 19])).

As per claim 2, the rejection of claim 7 has been addressed.

Cirne teaches a method **wherein said class matching information and static field identifiers matching information are lookup tables** (three sets of inputs are received at a code modifier [Figure 1, element 10], the inputs including replacement or substitution classes, static fields, and rules [column 2, lines 50-65], the inputs further containing a constant pool [Figure 5] which contains class data structures [column 5, line 59 – column 6, line 12] and static field identifiers [column 6, lines 46-58] used in [Figure 4 and 6] for class, static field and object updates.).

As per claim 3, the rejection of claim 7 has been addressed.

Cirne teaches a method **wherein said class matching information and static field identifiers matching information is omitted when said objects are not to be modified** ([Figure 4] [column 5, lines 29-58] [column 10, lines 40-63] and [column 13, lines 11-45]. Here the examiner notes that even though prior art has been applied to the claim limit, the claim limit merely states the results of the limitations in the claims and adds nothing to the patentability or substance of the claim. As such, this claim limit is given no patentable weight.).

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As per claim 4, the rejection of claim 7 has been addressed.

Cirne teaches a method **comprising an implementation of procedures for updating application data after the new application release has been installed** (add new functionality to existing code [column 2, lines 45-47]).

As per claim 8, the rejection of claim 7 has been addressed.

Cirne teaches a method **wherein said class matching information and said static field identifiers matching information are omitted when no additional class is added to said new application release or when newly introduced additional classes do not change said class hierarchy** ([Figure 4] [column 5, lines 29-58] [column 10, lines 40-63] and [column 13, lines 11-45]). Here the examiner notes that even though prior art has been applied to the claim limit, the claim limit merely states the results of the limitations in the claims and adds nothing to the patentability or substance of the claim. As such, this claim limit is given no patentable weight.).

Claim Rejections - 35 USC § 103

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 5 and 6 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cirne (U.S. Patent No. 6260187) in view of official notice.

As per claims 5 and 6, the rejection of claim 7 has been addressed.

Cirne implies, but does not explicitly teach a method **wherein said computer device is a chip card and the programming language is a "Java Card" language**.

It is old and well known in the art that a JAVA card running a JAVA card language is an example of a JAVA platform (system) (for example Baentsch et al. teaches a JAVA card [column 1, lines 7-9] and [claim 6]) running JAVA card code [column 1, lines 7-9]).

It would have been obvious to one skilled in the art at the time of the invention to have used the Cirne on a JAVA card to achieve the claimed invention. Cirne

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teaches that their invention can be run on different system which run JAVA code [column 1, line 53 – column 2, line 29] [Figure 9] [column 18, lines 45-51]). It would have been obvious to one skilled in the art at the time of the invention to have used Cirne on a JAVA card to modify JAVA to add new functionality to existing code.

As per MPEP § 2144.03(C), with respect to an Examiner's use of Official Notice: To adequately traverse such a finding, an applicant must specifically point out the supposed errors in the examiner's action, which would include stating why the noticed fact is not considered to be common knowledge or well-known in the art. See 37 CFR 1.111 (b).

The same section continues:

If applicant does not traverse the examiner's assertion of official notice or applicant's traverse is not adequate, the examiner should clearly indicate in the next Office action that the common knowledge or well-known in the art statement is taken to be admitted prior art because applicant either failed to traverse the examiner's assertion of official notice or that the traverse was inadequate. If the traverse was inadequate, the examiner should include an explanation as to why it was inadequate.

Applicant has not challenged or traversed the examiner's use of official notice in the previous office action, and repeated herein. As such, the examiner now considers as admitted prior art, that "a JAVA card running a JAVA card language is an example of a JAVA platform (system)" is considered to be common knowledge or well-known in the art at the time of the applicant's invention.

Response to Arguments

7. Applicant's arguments with regards to claims 2-8, filed 05/18/2009 have been fully considered but they are not persuasive.
8. APPLICANT REMARKS CONCERNING Claim Rejections - 35 USC § 102 (page 4-7): The applicant contends that Cirne (U.S. Patent No. 6260187) does not disclose step 4 of the claim 7, "using said class matching information and said static field identifiers matching information to modify said objects to point at the updated application release classes and use the updated application release static field identifiers".

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9. EXAMINER'S RESPONSE: The Examiner respectfully disagrees with Applicant's arguments. First, the examiner notes that as claimed 2-4, 7, and 8 are all directed toward "a computer device" and not just a chip (JAVA) card. Therefore, given the broadest reasonable interpretation, "a computer device" can be any computer device, such a desk top computer.

Second, on page 4 of the applicant's response, the applicant remarks that "Claim 7 has been modified to clarify the fact that the application was previously loaded in the computer device, not only in the sense that its code was present in some storage medium or memory of the device, but in the stronger sense that the application had previously been executed on the computer device and that data (in the form of objects) of the earlier application release is present in some storage medium or memory of the computer device.". The examiner notes that the amended claims filed 05/18/2009 actually broaden the interpretation of the claims by removing claim limits. This does not clarify the claims, but actually reduces that which must be disclosed by the prior art of record.

Third, regarding applicant's argument that Cirne (U.S. Patent No. 6260187) does not disclose step 4 of the claim 7, "using said class matching information and said static field identifiers matching information to modify said objects to point at the updated application release classes and use the updated application release static field identifiers", the examiner disagrees. Within the disclosure of Cirne (U.S. Patent No. 6260187), the term code (or object code) is used to refer to all of the instructions, variables, definitions, pointers, addresses etc, that are stored

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in a class file and/or a class data structure [column 5, lines 60-63]. The constant pool is a table of variable length structures representing various string constants, class names, field names, integers, floating point numbers and other constants that are referred to within the class file structure and its substructures [column 6, lines 5-9]. A description of using said class matching information and said static field identifiers matching information to modify said objects to point at the updated application release classes and use the updated application release static field identifiers is detailed in Cirne ([Figures 6 and 8] and [column 10, line 64 – column 14, line 19]). As such, the examiner contends that the claim limits are met by the prior art of record.

10. APPLICANT REMARKS CONCERNING Claim Rejections - 35 USC § 102 (page 7): The applicant contends that “Cirne does not teach nor suggest a method for loading an updated version of an original one, while this original application runs.”
11. EXAMINER’S RESPONSE: The Examiner respectfully submits that this argument is moot since the limit “a method for loading an updated version of an original one, while this original application runs” has not been claimed.
12. APPLICANT REMARKS CONCERNING Claim Rejections - 35 USC § 102 (page 8): The applicant contends that “Cirne does not teach nor suggest a method for

loading an updated version of an original one, without uploading and/or downloading confidential data already stored on the chip card.”

13. EXAMINER’S RESPONSE: The Examiner respectfully submits that this argument is moot since the limit “a method for loading an updated version of an original one, without uploading and/or downloading confidential data already stored on the chip card “has not been claimed.
14. Therefore, in view of the above reasons, Examiner maintains rejections.

Conclusion

15. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will

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the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Gregory Pollock whose telephone number is 571 270-1465. The examiner can normally be reached on 7:30 AM - 4 PM, Mon-Fri Eastern Time.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Chuck Kyle can be reached on 571 272-5233. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

GAP

07/11/2009

/Gregory Pollock/
Examiner, Art Unit 3695

Gregory A. Pollock

/Lewis A. Bullock, Jr./
Supervisory Patent Examiner, Art Unit 2193